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## Data management plans aren't working and here's how to fix them

4 reasons to rethink your institutional approach to DMPs

Nick Smale, Kathryn Unsworth, Dan Barr



Helio, you've reached the voicemail of my pants. My pants are not available right now, please leave a message.





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Apollo is a national treasure.

Jenna Guillaume • 1 hour ago

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# DISCLAIMER

These are our personal views, and not necessarily the positions of our respective institutions



For most of the history of DMPs, there were no mandates





		Percentage requiring DMPs	Mean year first requiring DMP	Range of years first requiring DMPs
	UK research councils	86%	2009	2006 - 2012
	US funding bodies	63%	2014	2011 - 2016
	Australian funding bodies	0%	NA	NA
<	Australian institutions	37%	2014	2011 - 2016

Unsworth, K., Smale, N. (2017)

Australian institutions have mandated DMPs in the absence of funder mandates

...but why?

## So why do we say that DMPs 'aren't working'?

- What's written in a DMP isn't translated into practice (Van Tuyl & Whitmire, 2016)
- No significant difference between DMPs of funded v unfunded projects (Mischo, Schlembach, & O'Donnell, 2014)
- Researchers describe poor data management practices in their DMPs (Bishoff & Johnston, 2015)

But there is a more fundamental problem...

# Catch all approach

### **Driver: Meet obligations and Rol**

Funders and institutions require RDMPs to encourage data sharing

### **Driver: Educate and effect change**

Institutions require RDMPs to effect change in researcher behaviours and practices

## **Driver: Business intelligence**

Institutions require RDMPs to:

Collect info on what research data assets are being generated

Inform institutional capacity planning

### **Driver: Project management**

Researchers initiate RDMPs as part of their own routine research design and planning

What's the main objective? Concentrat that objective Avoid the **'catch all'** 

# Requirements gathering

Is there an alternative mechanism for achieving the objective?

E.g. establishing end-to-end managed research workflows

*Listen* to your main stakeholders – *Researchers* Engage researchers in RDM tools you adapt or design

Educative tool - evaluate RDMP design Soundness of pedagogical approach

Evaluate RDM training Inclusive/exclusive of RDMPs Evaluate and measure

Compliance vs culture change Question the efficacy of RDMP mandates

What's in a name?

Align terminology to purpose and research community

Research Data Management Plan?? Data Sharing Plan?? Research Dissemination Plan?? Research Outputs Management Plan (Welcome Trust) Research Project Plan??

Change the focus and language from *your* RDMP to the *project's* RDMP

+ Plan vs Planning

Keep a watching brief on the development of maDMPs Contribute via Interest & Working Groups

- Interoperability with other research systems
- Leveraging persistent identifiers (PIDs)
- Evaluation and monitoring
- Disciplinary tailored DMPs and recommender systems
- Publishing/exposing DMPs

Simms S, Jones S, Mietchen D, Miksa T (2017) Machine-actionable data management plans (maDMPs). Research Ideas and Outcomes 3: e13086. <u>https://doi.org/10.3897/rio.3.e13086</u>

#### Communities

ASCIG (Software Citation)

AVSIG (vocabularies)

Community Development Interest Group

DMPs Interest Group

Data provenance

DSIG (Data Services)

**RDII** community

Research Software Engineers Community Group

RIF-CS Advisory Board

http://www.ands.org.au/partner s-and-communities/andscommunities/dmps-interestgroup ANDS | Partners and Communities | Communities

## Data Management Plans (DMPs) Interest Group

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The Data Management Plans (DMPs) Interest Group was formed in February 2017 and meets online on a bimonthly basis. The Group is facilitated by ANDS and open to anybody interested in DMPs, DMP tools and their effectiveness.

The DMP IG seeks to answer questions about Data Management Plans such as:

- . Why DMPs? Do they effect change? Are there alternative approaches?
- · What DMP tools are others using?
- · What might the next generation of DMPs look like?
- · What voice should researchers have in their development?
- · How do we define best practice?
- · What's happening internationally? Can we share learnings?

The Group provides a forum for discussion about:

- Local DMP tools and approaches such as the innovative Data Management Record (DMR) approach at The University of Queensland.
- International developments the Group has forged links with the Research Data Alliance DMP IG and it's DMP Common Standards and Exposing DMPs working groups.

### Join the discussion!

To be notified of DMP Interest Group events, subscribe to ANDS News. All ANDS' events are also listed on the Events calendar.

The Next DMP Interest Group catch-up will take place online 12.30pm-1.30pm AEDT, 31 October 2017 Register via Eventbrite 🖸

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# **Discussion points**

- Involving researchers in RDM planning design: What are the pros and cons?
- How do institutions avoid the 'catch all' planning tool (aka 'monster DMPs')?
- Should Funders and Institutions mandate RDMPs without evidence to support their efficacy?
- maDMPs are they the solution everyone is waiting for?