

Enabling access to sensitive data at the Australian Data Archive

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ANU Centre for Social Research and
Methods

Overview

- The Five Safes framework
- The Dataverse software system.
- Extensions ADA has made to the Dataverse environment to improve support for sensitive data
- Technical and administrative processes
- Future plans and additional requirements

The Five Safes model (Ritchie, 2017)

<https://zenodo.org/record/897821#.W8ekIRMzZE7>

Dimension	Question	Approach
Safe projects	Is this use of the data appropriate?	Managerial controls
Safe people	Can the researchers be trusted to use the data in an appropriate manner	
Safe settings	Does the access facility limit unauthorised use?	
Safe data	Is there a disclosure risk in the data itself?	Statistical controls
Safe outputs	Are the statistical results non-disclosive?	

See also:

UK Data Service: <https://www.ukdataservice.ac.uk/manage-data/legal-ethical/access-control/five-safes> ;

Australian Bureau of Statistics:

<http://www.abs.gov.au/ausstats/abs@.nsf/Latestproducts/1160.0Main%20Features4Aug%202017?opendocument&tabname=S>

Ritchie, 2017 p.5

- “The key to the model is that the five dimensions severally and jointly contribute to any consideration of whether a data access stratagem meets expectations:
 - The dimensions are designed so that each can be evaluated independently of the others, as far possible
 - All five dimensions need to be considered jointly to evaluate whether a data access system provides an ‘acceptable’ solution

The Five Safes model in use

- UK Data Service:
<https://www.ukdataservice.ac.uk/manage-data/legal-ethical/access-control/five-safes> ;
- Australian Bureau of Statistics:
<http://www.abs.gov.au/ausstats/abs@.nsf/Latestproducts/1160.0Main%20Features4Aug%202017?opendocument&tabname=S>
- South Australia: Public Sector (Data Sharing) Act 2016:
[https://www.legislation.sa.gov.au/LZ/C/A/PUBLIC%20SECTOR%20\(DATA%20SHARING\)%20ACT%202016.aspx](https://www.legislation.sa.gov.au/LZ/C/A/PUBLIC%20SECTOR%20(DATA%20SHARING)%20ACT%202016.aspx)
- **Proposed:** Data Sharing and Release Act (per Productivity Commission report):
<https://www.pc.gov.au/inquiries/completed/data-access/report>)

ADA in Brief

- The Social Science Data Archive (now ADA) was set up in 1981, housed in the Research School of Social Sciences at ANU, with a mission to collect and preserve Australian social science data on behalf of the social science research community
- ADA holds over 6000 datasets from around 1500 projects, including national election studies; public opinion polls; social attitudes surveys, censuses, aggregate statistics, administrative data & other sources.
- Data holdings are sourced from academic, government and private sectors.

Dataverse at ADA

- Redevelopment of our database and website infrastructure
 - New website: <http://sw-dev.ada.edu.au:8085/>
 - New data catalogue: <http://dataverse.ada.edu.au>
- New functionality:
 - Self-deposit of data
 - Open data access
 - API access (both for deposit and access, e.g. through R)
 - Shibboleth authentication
 - ORCID integration
- Currently completing data migration
 - Major studies already migrated

ADA Dataverse

Chrome File Edit View History Bookmarks People Window Help Thu 7:04 am StevenMcEachernOldMac

Secure https://dataverse.ada.edu.au

Dataverse About Guides Support Steven McEachern

ADA AUSTRALIAN DATA ARCHIVE

ADA Dataverse The Australian Data Archive provides a national service for collecting, preserving, publishing and accessing digital research data.

Metrics 5,282 Downloads

This is the Australian Data Archive Dataverse system.

Notices:

- There are no notices at this time.

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☒ **Dataverses (23)**

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Publication Status

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Draft (9)

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Researcher (2)

Publication Date

2017 (73)

2018 (19)

1 to 10 of 93 Results

The Household, Income and Labour Dynamics in Australia (HILDA) Survey, CROSS-NATIONAL EQUIVALENT FILE RELEASE 16 (Waves 1-16)

Mar 13, 2018 - HILDA Dataverse

Department of Social Services; Melbourne Institute of Applied Economic and Social Research, 2017, "The Household, Income and Labour Dynamics in Australia (HILDA) Survey, CROSS-NATIONAL EQUIVALENT FILE RELEASE 16 (Waves 1-16)", doi:10.4225/87/L0YQ3H, ADA Dataverse, V2

The HILDA-CNEF dataset is a subset of HILDA data developed specifically for use as part of the Cross-National Equivalent File (CNEF). The CNEF is managed by Ohio State University, USA (https://cnef.ehe.osu.edu/). The CNEF contains equivalently defined variables for the following...

Youth in Transition, 1975 Cohort

Feb 23, 2018 - Youth in Transition Dataverse

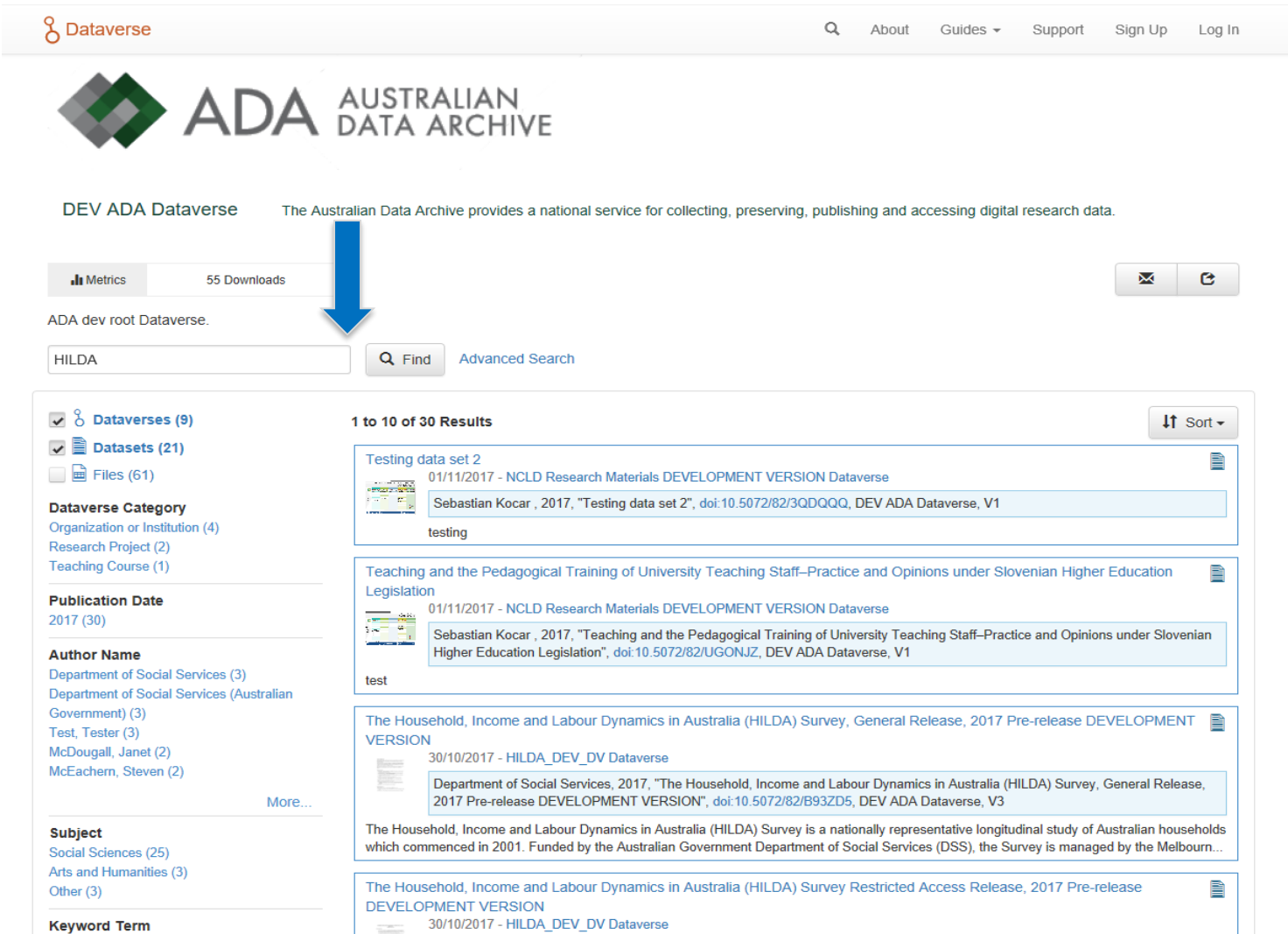
Australian Council for Educational Research, 2018, "Youth in Transition, 1975 Cohort", doi:10.4225/87/QSC5V, ADA Dataverse, V1

The Youth in Transition (YIT) project is a longitudinal study of four nationally representative cohorts of young people. This is the cohort of respondents born in 1975. Variables encompass five primary areas: i. overall test results; ii. secondary school - level attained, educati...

https://dataverse.ada.edu.au/dataset.xhtml?persistentId=doi:10.4225/87/L0YQ3H

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Find the dataset



The screenshot shows the Australian Data Archive (ADA) website. A blue arrow points to the search bar where 'HILDA' has been entered. Another blue arrow points to the search results section. The search results show three datasets related to HILDA.

DEV ADA Dataverse The Australian Data Archive provides a national service for collecting, preserving, publishing and accessing digital research data.

Metrics 55 Downloads

ADA dev root Dataverse.

HILDA [Advanced Search](#)

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testing

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01/11/2017 - NCLD Research Materials DEVELOPMENT VERSION Dataverse
Sebastian Kocar , 2017, "Teaching and the Pedagogical Training of University Teaching Staff—Practice and Opinions under Slovenian Higher Education Legislation", doi:10.5072/82/UGONJZ, DEV ADA Dataverse, V1
test

The Household, Income and Labour Dynamics in Australia (HILDA) Survey, General Release, 2017 Pre-release DEVELOPMENT VERSION
30/10/2017 - HILDA_DEV_DV Dataverse
Department of Social Services, 2017, "The Household, Income and Labour Dynamics in Australia (HILDA) Survey, General Release, 2017 Pre-release DEVELOPMENT VERSION", doi:10.5072/82/B93ZD5, DEV ADA Dataverse, V3
The Household, Income and Labour Dynamics in Australia (HILDA) Survey is a nationally representative longitudinal study of Australian households which commenced in 2001. Funded by the Australian Government Department of Social Services (DSS), the Survey is managed by the Melbourne...

The Household, Income and Labour Dynamics in Australia (HILDA) Survey Restricted Access Release, 2017 Pre-release DEVELOPMENT VERSION
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Publication Date

- 2017 (30)

Author Name

- Department of Social Services (3)
- Department of Social Services (Australian Government) (3)
- Test, Tester (3)
- McDougall, Janet (2)
- McEachern, Steven (2)

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Keyword Term

Search results and limiting



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HILDA



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
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Overview The Household, Income and Labour Dynamics in Australia (HILDA) Survey is a nationally

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Testing data set 2

01/11/2017 - NCLD Research Materials DEVELOPMENT VERSION Dataverse


 Sebastian Kocar , 2017, "Testing data set 2", doi:10.5072/82/3QDQQQ, DEV ADA Dataverse, V1

testing

HILDA Study Content URL URL: -in-australia-hilda-fact-sheet

Output dataset testing and self ingest for Replication Materials


19/05/2017

 Test Author 1; Test Author 2, 2017, "Output dataset testing and self ingest for Replication Materials", doi:10.5072/82/AH5ZIW, DEV ADA Dataverse, V1

paper for conference plus materials testing line breaks

Series Name: **HILDA** group research teamSubtitle: based on **HILDA** data - can we use this for an extra searchable field?**The Household, Income and Labour Dynamics in Australia (HILDA) Survey Restricted Access Release**

30/10/2017 - HILDA_DEV_DV Dataverse


 Department of Social Services, 2017, "The Household, Income and Labour Dynamics in Australia (HILDA) Survey Restricted Access Release, 2017 Pre-release DEVELOPMENT VERSION", doi:10.5072/82/RU7UOL, DEV ADA Dataverse, V2


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Datasets


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Overview

The Household, Income and Labour Dynamics in Australia (HILDA) Survey is a nationally representative longitudinal study of Australian households which commenced in 2001. Funded by the Australian Government Department of Social Services (DSS), the Survey is managed by the Melbourne Institute of Applied Economic and Social Research at the University of Melbourne.

HILDA is a panel survey of Australian households and, as such, HILDA surveys all working age members (over 15 years) of the selected households and then re-interviews the same people in subsequent years.

Purpose of the study

HILDA provides longitudinal data on the lives of Australian residents. Its primary objective is to support research questions falling within three broad and inter-related areas of income, labour market and family dynamics.

Data sets

There are two versions of the HILDA data available:

- The **General Release** means data from which all Personal Information (for the purposes of the Privacy Act 1988) has been removed and any remaining information has been modified by methods such as top coding, aggregation or the use of classification codes at a more general level.
- The **Restricted Release** means a release of data from which the names and addresses have been removed but which includes other potentially personal information such as postcodes and data at a more detailed level than the General Release dataset.

Want to know more?

To find out more about the HILDA survey:


- View the [NCLD HILDA fact sheet](#).
- Visit the [Melbourne Institute HILDA website](#).

Details about the National Centre for Longitudinal Data are available from the [Department of Social Services](#)

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Publication Date
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Subject
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Education (2)

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The Household, Income and Labour Dynamics in Australia (HILDA) Survey, General Release, 2017 Pre-release DEVELOPMENT VERSION

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Department of Social Services, 2017, "The Household, Income and Labour Dynamics in Australia (HILDA) Survey, General Release, 2017 Pre-release DEVELOPMENT VERSION", doi:10.5072/82/B93ZD5, DEV ADA Dataverse, V3


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30/10/2017

Department of Social Services, 2017, "The Household, Income and Labour Dynamics in Australia (HILDA) Survey Restricted Access



Dataset landing page

The screenshot shows the Dataverse landing page for the HILDA_DEV_DV dataset. The page layout includes a header with the Dataverse logo and navigation links, a main title section with the dataset name and version, a description section, and a files section at the bottom. Annotations with blue arrows point to specific parts of the page: 'Dataset' points to the title, 'Explore the dataset (tabs)' points to the 'Files', 'Metadata', 'Terms', and 'Versions' tabs, and 'Dataset files' points to the list of files in the 'Files' section.

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Description

The Household, Income and Labour Dynamics in Australia (HILDA) Survey is a nationally representative longitudinal study of Australian households which commenced in 2001. Funded by the Australian Government Department of Social Services (DSS), the Survey is managed by the Melbourne Institute of Applied Economic and Social Research at the University of Melbourne. HILDA provides longitudinal data on the lives of Australian residents. Its primary objective is to support research questions falling within three broad and inter-related areas of income, labour market and family dynamics. HILDA is a panel survey of Australian households and, as such, HILDA surveys all working age members (over 15 years) of the selected households and then re-interviews the same people in subsequent years. This dataset is the 10th release of the HILDA data, incorporating data collected from 2001 through 2016 (Waves 1-16). Special topic modules in Wave 16 are Education, skills and abilities, Literacy and Numeracy, and Cognitive Ability Tasks.

Subject Social Sciences

Keyword Demographics, Parenting and children, Person and family background, Education, Children's education, Household / living situation satisfaction, Assets / liabilities, Care of children, Social capital, Unemployment, Housing, Income, Health / disability, Household finances, Employment, Retirement, Parent living elsewhere, Caring for others, Life abilities / satisfaction

Related Publication HILDA Survey Publications. An extensive bibliography of all Australian and international research publications known to use or reference data from the HILDA Survey.

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Applying the Five Safes model at ADA

Dimension	Implementation	Assessment
Safe projects	Project proposal	Strong
Safe people	Institutional email	Moderate-Strong
Safe settings	User agreement not to share data	Weak
Safe data	Data is anonymised and deidentified	Strong
Safe outputs	Not assessable	Weak

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user@email.com.au

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Position *

Tester

Additional Questions

Type of organisation *

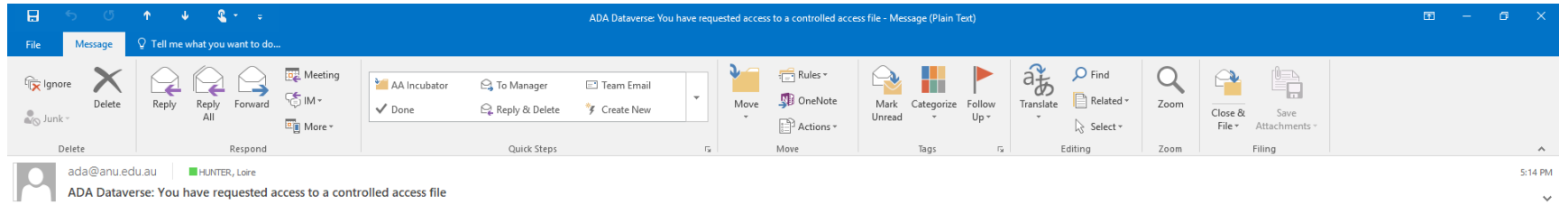
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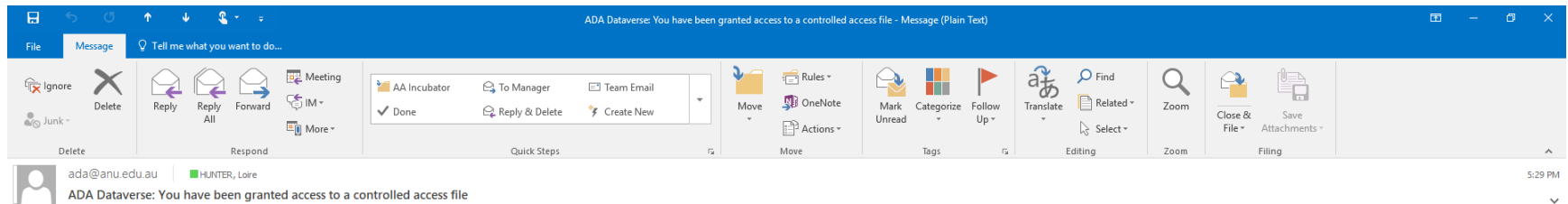


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Your obligations as an Authorised Data User are contained in the Terms and Conditions of Use.

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	<p>2. SPSS 160c.zip</p> <p>ZIP Archive - 416 bytes - 10/10/2017 - 1 Download</p> <p>MD5: 9317c74fcaaf08ec812a745e167c5e97</p> <p>HILDA Release 16.0 General Release SPSS Data Files</p> <p>Pre-release HILDA Data SPSS</p>	Request Access
	<p>2. Stata 160c.zip</p> <p>ZIP Archive - 162 bytes - 10/10/2017 - 3 Downloads</p> <p>MD5: 2e5ebe49001aacdf84647569690e47dab</p> <p>HILDA Release 16.0 General Release STATA Data Files</p> <p>Pre-release HILDA Data Stata</p>	Request Access
	<p>2. TAB 160c.zip</p> <p>ZIP Archive - 607 bytes - 25/10/2017 - 0 Downloads</p> <p>MD5: db7ec0bca3a21ee844cae96a918687e</p> <p>HILDA Release 16.0 General Release tab-delimited data files (*.tab format)</p> <p>Pre-release HILDA Data</p>	Request Access

Free to download (once approved)



Approving requests

Business rules

- ADA approves “standard” requests, subject to business rules (based on the contents of the user request)
- ADA refers “non-standard” requests (e.g. from commercial organisations) to data owner for review
- Data owner reviews and approves/**rejects** non-standard requests
- Approvals are managed in Dataverse
 - Established a new “Approver” role in DV to support this
- Communications between users, ADA and data owner regarding requests is managed via email, and logged in the ADA ticketing system

Email notification of a new data request

New Ticket Alert - ADA Dataverse: Access has been requested for a restricted file [#502003]



Australian Data Archive Support <ada@anu.edu.au>

Today, 8:50 AM

Steven McEachern ↵



↩ Reply | ▼

Inbox

Hi Steven McEachern,

A new ticket #502003 has been created

Department: NCLD

Hello, File access requested for dataset: The Household, Income and Labour Dynamics in Australia (Waves 1-16) DEVELOPMENT VERSION. Manage permissions at <https://dataverse-dev.ada. files.xhtml?id=198>. Thank you, The Australian Data Archive

Ticket Assigned to you - Re: Ticket Assigned to you - HILDA query - manage-hildadvtest@gmail.com - Gmail

Also comes into the notifications in Dataverse

Data request permissions




[HILDA_DEV_DV Dataverse](#) (Australian Data Archive)

[DEV ADA Dataverse](#) > [NCLD_development Dataverse](#) > [HILDA_DEV_DV Dataverse](#) >

The Household, Income and Labour Dynamics in Australia (HILDA) Survey, Release 16 (Waves 1-16) DEVELOPMENT VERSION > **Restricted File Permissions**

Users/Groups ^

 All the users and groups that have access to restricted files in this dataset.

 Grant Access to Users/Groups

2 Requests

User/Group Name (Affiliation)	ID	Email	Files	Access
ADA Test DVUser1 (ADATestUsers)	@adadvuser1	adadvuser1@gmail.com	2 Files	<input checked="" type="checkbox"/> Grant <input type="checkbox"/> Reject <input type="checkbox"/> Refer
<input type="text" value="Username"/>	<input type="text" value="@Username"/>	<input type="text" value="user@email.com.au"/>	1 File	<input checked="" type="checkbox"/> Grant <input type="checkbox"/> Reject <input type="checkbox"/> Refer



Future directions

Future directions

- Safe settings: PHRN, ABS Data Lab
- Safe people: Shibboleth (AAF)
- Safe outputs??
- Integration with other programs and requirements:
Data Sharing and Release Act, HREC
- Integration with other systems: virtual environments
(e.g. HASS DEVL), DMPs, RAIDs



Questions?

Steven McEachern

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ada@anu.edu.au

<http://ada.edu.au>